

EXPRESS MAIL CERTIFICATE

Date 9/21/01 Label No. W000505408-43

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Jessica Rojas  
Name (Print)

[Signature]  
Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

#4  
ADVERS  
11/16/01

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No: 1780/1D144-US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William GRAY et al.

Serial No.: 09/887,978

Art Unit: 3731

Confirmation No.: 9044

Filed: June 22, 2001

Examiner: To be assigned

For: TEMPORARY VASCULAR FILTER GUIDE WIRE

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

September 21, 2001

Sir:

In compliance with the duty of disclosure under 37 CFR §§ 1.56 and 1.97, attached hereto is a copy of the Form PTO-1449. The copies<sup>1</sup> of the documents listed thereon have been made of record in grandparent application Serial No. 08/963,524 filed November 3, 1997 and parent application Serial No. 09/457,203 filed December 6, 1999. These documents contain information which the Examiner

<sup>1</sup> Copies of the cited references were submitted in grandparent application Serial No. 08/963,524 and parent application Serial No. 09/457,203 and are not submitted here pursuant to 37 CFR §1.98.

may consider to be important in deciding whether to allow the present application to issue as a patent.

In accordance with MPEP Sections 609 and 707.05(b) it is requested that each document cited (including any cited in applicants' specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449, so that it will appear on the face of the patent issuing on the present application, even if the Examiner does not consider it sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with.

The present Disclosure Statement is also being submitted in compliance with 37 CFR § 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR §§ 1.104(a) and 1.106(b), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

The Examiner is requested to consider the references referred hereto and initial the enclosed form PTO-1449 where indicated.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

*Cheryl Milone Bab*

Cheryl Milone Bab  
Reg. No. 43,480  
Attorney for Applicants

DARBY & DARBY, P.C.  
805 Third Avenue  
New York, N.Y. 10022  
Phone (212) 527-7700

MAILED 10/10/78

Serial No. 10007978  
Information Disclosure Statement

Docket No. 4780/10144-US2  
Page 3



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 1 OF 2

(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 1780/1D144-US2  
APPLICANT: William GRAY et al.  
FILING DATE: June 22, 2001

SERIAL NO: 09/887,978  
CONFIRMATION NO.: 9044

**U.S. PATENT DOCUMENTS**

<u>*EXAMINER INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>NAME</u>	<u>CLASS SUBCLASS</u>	<u>FILING DATE</u>
1.	3,540,431	Nov. 17, 1970	Mobin-Uddin		
2.	4,577,631	Mar. 25, 1986	Kreamer		
3.	4,619,246	Oct. 28, 1986	Molgaard-Nielsen et al.		
4.	4,643,184	Feb. 17, 1987	Mobin-Uddin		
5.	4,650,466	Mar. 17, 1987	Luther		
6.	4,723,549	Feb. 9, 1988	Wholey et al.		
7.	4,727,873	Mar 1, 1988	Mobin-Uddin		
8.	4,790,812	Dec. 13, 1988	Hawkins, Jr. et al.		
9.	4,790,813	Dec. 13, 1988	Kensey		
10.	4,794,928	Jan. 3, 1989	Kletschka		
11.	4,817,600	Apr. 4, 1989	Herms et al.		
12.	4,842,579	Jun. 27, 1989	Shiber		
13.	4,873,978	Oct. 17, 1989	Ginsburg		
14.	4,921,478	May 1, 1990	Solano et al.		
15.	4,926,858	May 22, 1990	Gifford, III et al.		
16.	4,943,297	Jul. 24, 1990	Saveliev et al.		
17.	4,950,238	Aug 21, 1990	Sullivan		
18.	4,969,891	Nov. 13, 1990	Gewertz		
19.	4,979,951	Dec. 25, 1990	Simpson		
20.	5,092,839	Mar. 3, 1992	Kipperman		
21.	5,108,419	Apr. 28, 1992	Reger et al.		
22.	5,112,347	May 12, 1992	Taheri		
23.	5,147,379	Sept. 15, 1992	Sabbaghian et al.		
24.	5,152,777	Oct. 6, 1992	Goldberg et al.		
25.	5,154,724	Oct. 13, 1992	Andrews		
26.	5,160,342	Nov. 3, 1992	Reger et al.		
27.	5,324,304	Jun. 28, 1994	Rasmussen		
28.	5,329,942	Jul. 19, 1994	Gunther et al.		
29.	5,354,310	Oct. 11, 1994	Garnic et al.		
30.	5,397,331	Mar. 14, 1995	Himpens et al.		
31.	5,549,626	Aug. 27, 1996	Miller et al.		
32.	5,662,671	Sept. 2, 1997	Barbut et al.		
33.	5,695,518	Dec. 9, 1997	Laerum		
34.	5,695,519	Dec. 9, 1997	Summers et al.		
35.	5,769,816	Jun. 23, 1998	Barbut et al.		
36.	5,779,716	Jul. 14, 1998	Cano et al.		
37.	5,814,064	Sept. 29, 1998	Daniel et al.		
38.	5,827,324	Oct. 27, 1998	Cassell et al.		

RECEIVED  
JUL - 1 2001  
U.S. PATENT OFFICE



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

SHEET 2 OF 2

(REV. 7-80)

**LIST OF REFERENCES CITED BY APPLICANT**

(Use Several Sheets if Necessary)

DOCKET NO.: 1780/1D144-US1 SERIAL NO: 09/887,978  
APPLICANT: William GRAY and Richard. A GAMBALE  
FILING DATE: June 22, 2001

39.	5,876,367	Mar. 3 1999	Kaganov et al.
40.	5,928,260	Jul. 27, 1999	Chin et al.
41.	5,972,019	Oct. 26, 1999	Engelson et al.
42.	6,258,115	Jul. 10, 2001	Dubrul

**FOREIGN PATENT DOCUMENTS**

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUBCLASS	TRANSLATION	
					YES	NO
43.	AU A 67827/87	20 Jan. 1987	AU			
44.	EP 0 533 511 A	24 Mar. 1993	EPO			
45.	WO 96 01591 A	25 Jan. 1996	WO			
46.	FR 2 567 405 A	17 Jan. 1986	FR		X	
47.	WO 95 34254 A	21 Dec. 1995	WO		X	

**OTHER REFERENCES****(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)**

\*EXAMINER  
INITIALS

48. *Release of Atherosclerotic Debris After Transluminal Angioplasty*, Peter C. Block, M.D., David Elmer, and John T. Fallon, M.D., Ph.D., Vol. 65, No. 5, May 1982

EXAMINER: \_\_\_\_\_

DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.